12.27.00

PTO/SB/05 (11-00)

or use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required UTILITY

# PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

to respo	to respond to a collection of information unless it displays a valid OMB control number.							
Attorney Docket No. 178			37-00002					
i l		William Marcial, William Charles Heiner and Richard Edward Fitzgerald						
Title ACCOUNT RECONCILI			ATIONMETHODS AND SYSTEM	S 60				
Express Mail Label No.			EL319732875US	j				

		-	APPLICATION ELE				ADD	RESS TO:	Box Patent		
ŀ			er 600 concerning utility p		tion conte	nts.			<u>_</u>	<u> </u>	
	1. X 2. \( \square	(Submit an origina	l Form (e.g.,PTO/SB/ al, and a duplicate for fee ms small entity status 27	processing)				Computer cleotide and	or CD-R in di Program <i>(A)</i> l/or Amino Ai all necessary	<i>opendix)</i> cid Sequen	ge table or ce Submission
	з. 🔯	Specification		Total Page	s 70	$\neg$ <sub>1</sub>	<u> </u>	•	-	•	
	_	•	ement set forth below)			<b></b> '	а. 📋	•	Readable Fo	, ,	
		_	iptive title of the Inver	ntion			b. Sp	pecification :	Sequence Li	sting on:	
			References to Relate		ons			i. C	P-ROM or CE	)-R (2 copie	es); or
			ment Regarding Fed s					ii. 🔲 pa	per		
			ence to sequence listing, a table, or a compute am listing appendix ground of the Invention			puter	с. 🗌	Statemen	ts verifying id	dentity of at	oove copies
		- Back								· ·	· · · · · · ·
:0	-		Summary of the Inven				/	ACCOMPA	ANYING AF	PLICATION	ON PARTS
-1			Description of the Dra led Description	wings (ii iiie	<i>iu)</i>		9.	Assignme	nt Papers (co	over sheet	& document(s))
-		- Claim	(s)				l	37 CFR 3	73(b) Statem	nent	`
		- Abstra	act of the Disclosure			_	10	(when the	re is an assig	gnee) 🗌 F	Power of Attorney
	4. 🛚	Drawing(s) (35	USC 113)	Total Shee	ets 22	_ <u>_</u> 1	11.	English Tr	anslation Do	cument (if	applicable)
11	5.	Oath or Declara	ition	Total Page	es	<u></u> 1	12.		n Disclosure :(IDS)/PTO-		Copies of IDS Citations
	•		executed (original or o				13. 🗌		y Amendmei		
1		b. Copy f	rom a prior applicatior ntinuation/divisional w	1 (37 CFR 1 11th Box 18 (	.63(d)) complete	d)	14. 🖾		ceipt Postca e <i>specifically</i>	`	503)
		i. 🗌	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)				15. 🗌		opy of Priori priority is cla		nt(s)
			named in the prior ap 1.63(d)(2) and 1.33(b		ee 37 CF	R	16. 🖾	(b)(2)(B)(i) its equivale	. Applicant i	must attach	5 U.S.C. 122 I form PTO/SB/35 or
	6. 🗌	Application Data	Sheet. See 37 CFR	1.76			17.🛛	045	and Power	of Attorne	Mail: Declaration y (submitted for n purposes only)
ı	18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or										
			Data Sheet under 37 C				4			(	,,,
		Continuation	Div	isional		Continua	tion-in-par	t (CIP) o	f prior applica	ation No.:	,
	Prior an	pplication informatio	n:		Examine	<del>-</del>		, ,		– p/Art Unit:	
	•	•	 DIVISIONAL APPS only:	The entire of			application	n. from whicl		•	s supplied under Box
	5b, is c	onsidered a part o	of the disclosure of the a	ccompanyin	ig continu	ation or divi	sional appl	lication and i	s hereby inco	rporated by	reference. The
ŀ	incorpo	oration <u>can only</u> be	e relied upon when a po						pplication par	rts.	****
			·	19. (	CORRES	PONDENC	E ADDRE	:55	· · · -		
	☐ Cu	stomer Number	or Bar Code Label	(Insert Custo	om No. or	Attach bar co	de label he	re) or 🛛 C	orresponder	ce address	s below
Į	Name		John S. Beulick, Esq								
			Armstrong Teasdale	LLP							11
l	Addres	ss	One Metropolitan Sq	., Suite 260	0						
İ	City		St. Louis State Missouri				Zip Code 63102				3102
ŀ	Countr	<b>v</b>	US		Telepho		21-5070		Fax	(314) 62	
Ļ							<b>3</b>		1: =2		
	Name	e (Print/type)	Natu J. Patel n			0	F	Registration	No. (Attorne	y/Agent)	39,559
	Signa	ature		1756					Date	Decembe	r 22, 2000

\*\*or number previously paid, if greater; For Reissues, see above

				Complete If Known							
≣3	FFFT	RANSMIT	ΤΔΙ	Appli	cation	Numb	er			· · · · ·	
FEE TRANSMITTAL			Filing	Date							
≣∞ tor FY 2001 ⊢				First	First Named Inventor			1	William Marcial, William Charles Heinrich and Richard Edward Fitzgerald		
jo	Patent fees	are subject to annual re	vision.	Group Art Unit				$^{\dagger}$			
				Fyam	iner Na	me		十			
	AMOUNTOS	DAVMENT	(\$) 1904.00	<del>                                     </del>				+	17837-00002		
IOTAL	L AMOUNT OF			Attori	ney Do	CKELN	umber		17637-00002		
-	METH	OD OF PAYMENT (c	heck one)						ALCULATION (continued)		
1. 🛛	The Commissio credit any over	ner is hereby authorized to	charge indicated fees	and ·	3.		IONAL F				
Deposit		paymonto to.			Large Fee	Entity Fee	Small Fee	Entit Fee	у		
Account Number					Code	(\$)		(\$)	Fee Description	Fee Paid	
140111061	L				105	130	205	65	Surcharge - late filing fee or oath		
Deposit Account					127	50	227	25	Surcharge-late provisional filing fee or cover sheet		
Name	L				139	130		130	Non-English specification		
		additional Fee Required R 1.16 and 1.17			147	2520			For filing a request for ex parte reexamination		
	Applicant clair	ms small entity status.			112	920*	112	920'	Requesting publication of SIR prior to Examiner action		
·	See 37 CFR				113	1840*	113	1840	*Requesting publication of SIR after Examiner action		
2. 🗌	Payment Enclo	sed:			115	110	215	55	Extension for reply within first month		
	Check	Credit Card	ey Order 🔲 Other		116	390	216	195	Extension for reply within second Month		
FEE CALCULATION				İ	117	890	217	445	Extension for reply within third month		
1. BA	SIC FILING FEE				118	1390	218	695	Extension for reply within fourth month		
	F-44. 0 "	=			128	1890	228	945	Extension for reply within fifth month		
Large Fee	Entity Small Fee Fee	Entity Fee			119	310	219	155	Notice of Appeal		
Code	(\$) Code	(\$) Fee Des	cription Fee Paid	i	120	310		155	Filing a brief in support of an appeal		
104	710 201	355 Utility Filing	Fee 790		121	270		135	Request for oral hearing		
101 106	710 201 320 206	355 Utility Filing 160 Design Filin		1.00	138	1510	138	1510	Petition to institute a public use proceeding		
107	490 207	245 Plant Filing			140	110	240	55	Petition to revive – unavoidable		
108	710 208	355 Reissue filir			141	1240	241	620	Petition to revive – unintentional		
114	150 214	75 Provisional	riing ree		142	1240		620	Utility issue fee (or reissue)		
		SUB	TOTAL (1) 790	.00	143	440		220	Design issue fee		
			<u>L</u>		144 122	600 130		300 130	Plant issue fee Petitions to the Commissioner		
2. EX	TRA CLAIM FEE				123	50		50	Petitions to the Commissioner  Petitions related to provisional		
		Extra Claims	Fee From Below Fee Pa	id	126	180		180	applications Submission of Information Disclosure		
Total Cla	aims 73 dent Claims 5		8.00 = 954.00 0.00 = 160.00	_	581	40		40	Stmt Recording each patent assignment		
Multiple	Dependant		=						per property (times number of properties)		
Fee	Entity Small Ent	e Î			146	710			Filing a submission after a final rejection (37-CFR 1.129(a))		
Code 103	(\$) Code (\$) 18 203 9		Description 0		149	710		355	For each additional invention to be examined (37 CFR 1.129(b))		
102	80 202 40	Independent claims in	excess of 3		179	710		355	(RCE)		
104 109	270 204 135 80 209 40		aım, ir not paid nt claims over original p	atent	169	900	169	900	Request for expedited examination of a design application		
110	18 210 9	**Reissue claims in e original patent	xcess of 20 and over		Other fo	ee (spec	ify)				
		SUBTOTAL (	2) 1114	.00					e Paid SUBTOTAL (3)		

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Natu J. Patel		Registration No. (Attorney/Agent)	39,559	Telephone	314-621-5070
Signature	NIK	Rh			Date	December 22, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

# CERTIFICATE OF MAILING BY EXPRESS MAIL TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail mailing label number: **EL319732875US** 

Date of Mailing: December 22, 2000

I certify that the attached utility patent application of WILLIAM MARCIAL, WILLIAM CHARLES HEINRICH AND RICHARD EDWARD FITZGERALD for ACCOUNT RECONCILIATION METHODS AND SYSTEMS, including:

- Fifteen (15) pages of specification; fourteen (14) pages of claims; one (1) page of abstract; and forty (40) pages of Appendix A.
- Declaration and Power of Attorney (2 pgs)(submitted for inventor identification purposes only)
- Twenty two (22) sheets of drawings
- Patent Application Transmittal (1 page)
- Fee Transmittal (in duplicate) (1 page)
- Request and Certification of William Marcial (1 page)
- Request and Certification of William Charles Heinrich (1 page)
- Request and Certification of Richard Edward Fitzgerald (1 page)
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

Natu J. Patel, Reg. No. 39,559

Armstrong Teasdale LLP

One Metropolitan Square, Suite 2600

St. Louis, MO 63102

314/621-5070

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

#### REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		William Marcial et al.
Title ACCOUNT RE		CONCILIATION METHODS AND SYSTEMS
Atty Dkt. Number		17837-00002

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/20/2000

Olgrizitare

William Marcial
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.** 

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		William Marcial et al.	
Title	ACCOUNT RECONCILIATION METHODS AND SYSTEMS		
Atty Dkt. Number		17837-00002	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

 $\frac{13/90}{20}$ 

William Charles Heinrich
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		William Marcial et al.
Title ACCOUNT RE		CONCILIATION METHODS AND SYSTEMS
Atty Dkt. Number		17837-00002

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/20/60

Signature

Richard Edward Fitzgerald
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.